Documents That Can be eFiled

Effective April 28, 2003

1. Filings Common to Docketed Proceedings in All Program Areas:

Answer/Response to a Pleading/Motion: An answer (18 CFR 385.213) may be made to any pleading [18 CFR 385.202] except a protest, answer, motion for oral argument, or request for rehearing. Select Response to Complaint if you are responding to a complaint or show cause order.

Brief/Statement of Position: Any brief filed before (Rule 706) or after (Rule 711) an initial decision [18 CFR 385.706 and 385.711].

Comment on Filing: Comments on Filing is a document filed in response to a FERC public notice or order in a specific FERC docketed proceeding (any docket prefix except RM). It may include a protest. This filing type does not add contact names to the service list. You must select Motion/Notice of Intervention (or Motion to Intervene Out-of-Time) to be included on the service list for a docket. Comment on Filing includes:

- 1. Comments on applications and other filings;
- 2. Comments on technical conferences:
- 3. Comments filed in connection with environmental documents (Notices, Environmental Assessments, and Environmental Impact Statements) [18 CFR 380.10(a)];
- 4. Protests [18 CFR 385.211 and 18 CFR 343.3; see also 18 CFR 4.5, 4.13, 4.23, 35.8(a), 154,210(a), 157.10, and 157.205(e)] and responses to certain protests [18 CFR 343.3(b)];
- 5. Reply comments;
- 6. Mandatory and recommended terms and conditions or prescriptions on a hydropower application for exemption or license.

Interlocutory Appeal - Motion: Motions to the presiding officer in a proceeding to permit appeal of a ruling by the presiding officer [18 CFR 385.715(b)] or an appeal of a presiding officer's denial of a motion to permit appeal [18 CFR 385.715(c)].

Interlocutory Appeal - Response: Responses to a Motion to Permit Interlocutory Appeal [18 CFR 385.213(a)(2) and (a)(3)].

Motion to Compel Production: A motion to compel production is a petition or request for an order directing another party in a proceeding to produce documents and records in discovery [18 CFR 385.410(b)].

Motion to Intervene Out of Time: Motion to intervene out of time is a pleading filed with the Commission by a party requesting permission for intervenor status after the deadline for filing has passed [18 CFR 385.214(b)(3)].

Motion/Notice of Intervention: Motion/notice of intervention is a pleading filed with the Commission by a party requesting intervenor status (legal basis to participate in proceeding). The motion or notice may also include comments, a protest, or other pleading [18 CFR 385.214, 385.1306; see also 18 CFR 35.8(a), 154.210(a) and (b), 157.210, 157.106, 343.2(a), and 380.10].

Objection to Motion to Compel Production: An objection to a motion to compel production is a pleading by the respondent named in a motion to compel production stating the basis for objection to producing the requested documents and records [18 CFR 385.410].

Procedural Motion: A procedural motion is a pleading by any party to a proceeding before an Administrative Law Judge or the Commission concerning the scope, procedures, or schedule established by the presiding judge or by FERC's rules of procedure [18 CFR 385.212].

Production of Document: This is a transmittal letter advising the Commission that documents or records have been provided to the requesting party, or as directed by the presiding officer, in the discovery phase of a proceeding [18 CFR 385.406].

Request for Change in Service List: A request to make a change to the service list for specific dockets. The change may be to revise a contact or information about a contact.

Request for Hearing: A request for hearing is a motion to the Commission that a matter within the jurisdiction of the Commission be set for hearing.

Request for Rehearing or Appeal: A request of rehearing or appeal is a pleading by any party to a proceeding before the Commission petitioning the Commission to reconsider an order in that proceeding; includes Motions for Clarification [18 CFR 385.713 and 18 CFR 385.715]. There are statutory deadlines for filing requests for rehearing. Be advised that the Commission cannot waive these deadlines.

Response to Complaint: Any respondent to a complaint or order to show cause must make an answer, unless the Commission directs otherwise [18 CFR 385.206(f) and 385.213(a)].

Rulemaking Comment: Rulemaking comment is a document filed in response to a Notice of Inquiry or Notice of Proposed Rulemaking issued by the Commission [RM docket prefix only; 18 CFR 385.1903 and 18 CFR 380.10(b)].

Settlement Comment: Settlement comment is a document prepared by any party to a proceeding before the Commission that sets forth the views and position of the party on a proposed agreement or settlement to resolve any of the issues in dispute [18 CFR 385.602(f)].

Withdrawal of Application: A request to withdraw an application or other pleading previously filed with the Commission.

Withdrawal of Intervention: Withdrawal of intervention is a request by a party to a proceeding (one who has been granted intervenor status) to withdraw from that proceeding [18 CFR 385.216].

2. Filings Specific to Electric Program Area

Accounting Filing or Request: Any request for approval of accounting procedures or a filing related to accounting issues.

Annual Charges Report: FERC Reporting Requirement No. 582: The report due annually by April 30 that must be filed by a public utility, as defined in §382.102(b), on transmission of electric energy in interstate commerce, for the purpose of computing annual charges [18 CFR 382.201(c)].

Complaint: Any complaint under Rule 206 that does not include privileged (non-public) information (18 CFR 385.206). Answers to complaints should also be filed under this category within 20 days of date the complaint is filed [18 CFR 385.206(f)].

Electric Quarterly Report 2nd and 3rd Qtr. 2002 Revisions: The Electric Quarterly Report is filed by all jurisdictional utilities, listing all contracts in effect and all power sales made during the previous quarter, as required by Order 2001. Revisions to filings made in the interim format are allowed via efiling. Any EQR filing for 4th quarter 2002 or later should be filed using EQR Submission software available at http://www.ferc.gov/Electric/eqr/eqr.htm.

Electric Refund Report (Compliance Only): A report of refund of any increased rates or charges either found by the Commission not to be justified, or approved for refund by the Commission as part of a settlement.

Qualifying Facility Notice of Self-Certification: Notice of self certification as a qualifying facility filed by a small power production facility or cogeneration facility that meets the requirements of 18 CFR 292.203 [18 CFR 292.207 and 131.80 (Form 556)].

Qualifying Facility Notice of Self-Recertification: A subsequent notice of self-recertification as a qualifying facility filed by a small power production facility or cogeneration facility [18 CFR 292.207].

3. Natural Gas Program Area Filings

Accounting Filing or Request: Any request for approval of accounting procedures or a filing related to accounting issues.

Complaint: Any complaint under Rule 206 that does not include privileged (non-public) information [18 CFR 385.206]. Answers to complaints should also be filed under this category within 20 days of date the complaint is filed [18 CFR 385.206(f)].

Peak Day Capacity Report: A report of available capacity filed by an interstate pipeline by March 1 each year, showing the estimated peak day capacity of the pipeline's system, and the estimated storage capacity and maximum daily delivery capability of storage facilities and the assignment of that capacity to the various firm services provided by the pipeline [18 CFR 284.13(d)].

Semi-annual Storage Report Under Part 157: Reports of storage activity filed by interstate pipelines for facilities under a blanket certificate or a certificate of public convenience and necessity [18 CFR 157.214; docket number known].

Semi-annual Storage Report Under Part 284: Reports of storage activity by interstate pipelines [18 CFR 284.13] and intrastate pipelines [18 CFR 284.126] filed at the end of each complete storage injection and withdrawal season (no docket number).

4. Oil Pipeline Program Area Filings

Accounting Filing or Request: Any request for approval of accounting procedures or a filing related to accounting issues.

Complaint: Any complaint under Rule 206 that does not include privileged (non-public) information [18 CFR 385.206]. Answers to complaints should also be filed under this category within 20 days of date the complaint is filed [18 CFR 385.206(f)].

5. Hydropower Program Area Filings

Annual Conveyance Report: A report filed by the licensee of a hydropower project, if required by its license, of conveyances of easements or rights-of-way across, or leases of, project lands that occurred in the previous year [18 CFR 141.15].

Annual Generation Report: A report filed by licensees of hydropower projects of more than 1.5 megawatts of installed capacity to enable the Commission to determine annual charges [18 CFR 11.1(c)(4)].

Complaint: Any complaint under Rule 206 that does not include privileged (non-public) information [18 CFR 385.206]. Answers to complaints should also be filed under this category within 20 days of date the complaint is filed [18 CFR 385.206(f)].

Form 80 – Licensed Hydropower Development Recreation Report: This form is used by licensees to report information with respect to current and potential recreational use at developments within projects under major and minor license.

Progress Report: Progress reports filed by a hydropower project licensee or preliminary permit holder in accordance with a license or preliminary permit requirement (file under 000 sub-docket for the project).

Request for Extension of Time (Hydro: Post-Licensing Only, Excluding Dam Safety-Related Extension Requests): Requests for extension of time to comply with filing schedules defined in the terms and conditions of a license or exemption and (e.g., requests to extend due dates in license articles and settlement agreements incorporated into a license) and requests to extend due dates specified in post-licensing Commission and delegated orders.

Surrender of Exemption: Application filed requesting surrender of a conduit exemption [18 CFR 4.95] or for a 5MW exemption [18 CFR 4.102].

Surrender of License: Application filed requesting surrender of a license [18 CFR 6.1].

Surrender of Preliminary Permit: Petition requesting surrender of a preliminary permit [18 CFR 4.84].